Thin groups and superstrong approximation
MSRI Publications
Volume **61**, 2013

Strong approximation for algebraic groups

ANDREI S. RAPINCHUK

We survey results on strong approximation in algebraic groups, considering in detail the classical form of strong approximation as well as more recent results on strong approximation for arbitrary Zariski-dense subgroups. Some other topics, ranging from strong approximation in homogeneous spaces of algebraic groups to various applications of strong approximation, are also discussed.

1. Introduction

This article is a survey of known results related to strong approximation in algebraic groups. We focus primarily on two aspects: the classical form of strong approximation, which is really strong approximation for S-arithmetic groups (Section 2), and its more modern version for arbitrary Zariski-dense subgroups (Section 3). Along the way we will also mention results dealing with strong approximation in arbitrary varieties and particularly homogeneous spaces (which are probably not so well known to the general audience as some other results in the article) and some applications. The reader will find more applications of strong approximation for Zariski-dense subgroups in other articles in this volume.

1A. ***Strong approximation and congruences.*** The most elementary way to start thinking about strong approximation is in terms of lifting solutions of integer polynomial equations mod m for all $m \geqslant 1$, to integer solutions. So, suppose we have a family of polynomials

$$f_\alpha(x_1, \ldots, x_d) \in \mathbb{Z}[x_1, \ldots, x_d], \quad \alpha \in I,$$

and we let $X \subset \mathbb{A}^d_{\mathbb{Z}}$ denote the closed affine subscheme defined by these polynomials. Thus, for any $\mathbb{Z}$-algebra R, the scheme X has the following set of R-points:

$$X(R) = \{(a_1, \ldots, a_d) \in R^d \mid f_\alpha(a_1, \ldots, a_d) = 0 \text{ for all } \alpha \in I\}.$$

Then for any integer $m \geqslant 1$, we have a natural reduction modulo m map

$$\rho_m : X(\mathbb{Z}) \to X(\mathbb{Z}/m\mathbb{Z}),$$

and the question is whether these maps are *surjective* for all m. (Of course, this question is meaningful only if we assume that $X(\mathbb{Z}/m\mathbb{Z}) \neq \varnothing$ for all m.) Observe that for $m \mid n$, there is a canonical homomorphism $\mathbb{Z}/n\mathbb{Z} \to \mathbb{Z}/m\mathbb{Z}$, hence a natural map $\pi_m^n : X(\mathbb{Z}/n\mathbb{Z}) \to X(\mathbb{Z}/m\mathbb{Z})$. Clearly, $\{X(\mathbb{Z}/m\mathbb{Z}), \pi_m^n\}$ is an inverse system, so we can assemble all the $X(\mathbb{Z}/m\mathbb{Z})$'s together by taking the inverse limit:

$$\varprojlim X(\mathbb{Z}/m\mathbb{Z}) = X(\widehat{\mathbb{Z}}), \quad \text{where } \widehat{\mathbb{Z}} = \varprojlim \mathbb{Z}/m\mathbb{Z}.$$

Recall that the Chinese remainder theorem furnishes an isomorphism $\widehat{\mathbb{Z}} \simeq \prod_p \mathbb{Z}_p$, where $\mathbb{Z}_p$ is the ring of p-adic integers and the product is taken over all primes, which allows us to identify $X(\widehat{\mathbb{Z}})$ with $\prod_p X(\mathbb{Z}_p)$.

Just as above, for any integer $m \geqslant 1$, there is a natural map

$$\hat{\rho} : X(\widehat{\mathbb{Z}}) \longrightarrow X(\widehat{\mathbb{Z}}/m\widehat{\mathbb{Z}}) = X(\mathbb{Z}/m\mathbb{Z}).$$

The preimages of points under the $\hat{\rho}_m$ form a basis of a natural topology on $X(\widehat{\mathbb{Z}})$, which coincides with either of the following topologies:

- the topology of the inverse limit on $\varprojlim X(\mathbb{Z}/m\mathbb{Z})$ — cf. [Klopsch et al. 2011, Chapter I, 5.3];
- the topology induced by the embedding $X(\widehat{\mathbb{Z}}) \hookrightarrow \widehat{\mathbb{Z}}^d$, where $\widehat{\mathbb{Z}}$ is endowed with the inverse limit topology on $\varprojlim \mathbb{Z}/m\mathbb{Z}$;
- the direct product topology on $\prod_p X(\mathbb{Z}_p)$, where $X(\mathbb{Z}_p)$ gets its topology from the embedding $X(\mathbb{Z}_p) \hookrightarrow \mathbb{Z}_p^d$, and $\mathbb{Z}_p$ is endowed with the natural p-adic topology.

As an immediate consequence, we have:

Lemma 1.1. *The following conditions are equivalent*:

(1) $\rho_m : X(\mathbb{Z}) \to X(\mathbb{Z}/m\mathbb{Z})$ *is surjective for all integers* $m \geqslant 1$;

(2) *the natural embedding* $\iota : X(\mathbb{Z}) \hookrightarrow X(\widehat{\mathbb{Z}})$ *has a dense image in the above topology.*

In this situation, we say X has *strong approximation* if it satisfies the equivalent conditions of Lemma 1.1 (of course, this is only a first approximation to the precise definition(s) of strong approximation that will be given later; see Section 2A). Intuitively, strong approximation should not be very common as there are plentiful examples where $X(\widehat{\mathbb{Z}}) \neq \varnothing$ but $X(\mathbb{Z}) = \varnothing$ (i.e., the Hasse principle fails — note that here we actually omit the archimedean place of $\mathbb{Q}$), and also examples where $X(\mathbb{Z})$ is nonempty but so "small" that it cannot possibly be

dense in $X(\widehat{\mathbb{Z}})$. A classical example of the second situation is a cubic hypersurface $X \subset \mathbb{A}^3$ given by the equation

$$3x^3 + 4y^3 + 5z^3 = 0;$$

it is known that $X(\mathbb{Z}) = \{(0,0,0)\}$ but $X(\mathbb{Z}_p) \neq \{(0,0,0)\}$ (hence infinite as any point on X other than the origin is smooth) for all prime p. In fact, very little appears to be known about strong approximation for schemes (varieties) lying outside some special classes such as homogeneous spaces — one can only give some *necessary conditions* (see Proposition 2.2 and subsequent remarks). So, in this article we will deal almost exclusively with algebraic groups.

1B. $\mathbf{SL_2}$ *versus* $\mathbf{GL_2}$. Let us start with two elementary examples: $G_1 = \mathrm{SL}_2$ and $G_2 = \mathrm{GL}_2$. One doesn't see much of a difference between these examples just by looking at the defining equations, which can be written as follows, with the obvious labeling of coordinates:

- G_1 can be realized as a hypersurface in $\mathbb{A}^4$, given by

$$x_{11}x_{22} - x_{12}x_{21} = 1.$$

- G_2 can be realized as a hypersurface in $\mathbb{A}^5$, given by

$$y(x_{11}x_{22} - x_{12}x_{21}) = 1.$$

However, G_1 has strong approximation, and G_2 does not.

Lemma 1.2. *For any $m > 1$, the reduction modulo m map*

$$\rho_m : \mathrm{SL}_2(\mathbb{Z}) \longrightarrow \mathrm{SL}_2(\mathbb{Z}/m\mathbb{Z})$$

is surjective.

Proof. The argument does not use equations (in fact, it is not a completely trivial task to prove strong approximation using equations in this case — see the discussion after Proposition 2.4). The crucial observation is that any $\bar{g} \in \mathrm{SL}_2(\mathbb{Z}/m\mathbb{Z})$ can be written as a product of elementary matrices:

$$\bar{g} = \prod_k e_{i_k j_k}(\bar{a}_k) \quad \text{with } (i_k, j_k) \in \{(1,2),(2,1)\} \text{ and } \bar{a}_k \in \mathbb{Z}/m\mathbb{Z}. \tag{1}$$

(As usual, for $i \neq j$, we let $e_{ij}(a)$ denote the elementary matrix having a as its ij-entry.) For this, one needs to observe that if $m = p_1^{\alpha_1} \cdots p_r^{\alpha_r}$ then it follows from the Chinese remainder theorem that

$$\mathrm{SL}_2(\mathbb{Z}/m\mathbb{Z}) = \mathrm{SL}_2(\mathbb{Z}/p_1^{\alpha_1}\mathbb{Z}) \times \cdots \times \mathrm{SL}_2(\mathbb{Z}/p_r^{\alpha_r}\mathbb{Z}),$$

which reduces the problem to the case where $m = p^\alpha$. Now, given

$$\bar{g} = \begin{pmatrix} x_{11} & x_{12} \\ x_{21} & x_{22} \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z}/p^\alpha\mathbb{Z}),$$

we see that either x_{11} or x_{12} is a unit mod p^α, so using Gaussian elimination one can easily write $\bar{g}$ as a product of elementaries over $\mathbb{Z}/p^\alpha\mathbb{Z}$.

Next, given an arbitrary $\bar{g} \in \mathrm{SL}_2(\mathbb{Z}/m\mathbb{Z})$, pick a factorization (1), and furthermore, for each k pick an integer a_k in the class $\bar{a}_k$ modulo m. Set

$$g = \prod_k e_{i_k j_k}(a_k) \in \mathrm{SL}_2(\mathbb{Z}).$$

Then $\rho_m(g) = \bar{g}$, proving the surjectivity of ρ_m. □

Note that the proof of Lemma 1.2 relies on the consideration of unipotent elements, so it is worth pointing out that, as we will see in the course of this article, unipotent elements are involved in one way or another in most known results on strong approximation (even when the group at hand does not contain any nontrivial rational unipotent elements, i.e., is anisotropic).

The fact that $G_2 = \mathrm{GL}_2$ does not have strong approximation is much easier: in fact, already the map

$$\rho_5 : \mathrm{GL}_2(\mathbb{Z}) \longrightarrow \mathrm{GL}_2(\mathbb{Z}/5\mathbb{Z})$$

fails to be surjective. (Indeed, since all matrices in $\mathrm{GL}_2(\mathbb{Z})$ have determinant ± 1, the matrices in $\rho_5(\mathrm{GL}_2(\mathbb{Z}))$ have determinant $\pm 1 \pmod 5$, and therefore, for example, $\left(\begin{smallmatrix} 1 & 0 \\ 0 & 2 \end{smallmatrix}\right)$ does not lie in the image of ρ_5.) One can conceptually articulate the obstruction that prevents GL_2 from having strong approximation in this case by saying that in order for an affine $\mathbb{Q}$-variety X to have strong approximation,

$$X(\mathbb{Z}) \text{ must be Zariski-dense in } X.$$

Indeed, let $Y = \overline{X(\mathbb{Z})}$ be the Zariski closure of $X(\mathbb{Z})$ in X, and assume that $Y \neq X$. Pick a point $a \in X(\bar{\mathbb{Q}}) \setminus Y(\bar{\mathbb{Q}})$, where $\bar{\mathbb{Q}}$ is an algebraic closure of $\mathbb{Q}$. Then one can find a polynomial $f \in \mathbb{Z}[x_1, \ldots, x_d]$ that vanishes on Y and such that $f(a) \neq 0$. It follows from Chebotarev's density theorem that for infinitely many primes p, we have $a \in X(\mathbb{Z}_p)$ and $f(a) \not\equiv 0 \pmod p$. Let $\bar{a} \in X(\mathbb{F}_p)$ be the reduction of a modulo p, where $\mathbb{F}_p = \mathbb{Z}/p\mathbb{Z} = \mathbb{Z}_p/p\mathbb{Z}_p$. (Note that it would be more appropriate to write $\underline{X}^{(p)}(\mathbb{F}_p)$ instead of $X(\mathbb{F}_p)$, where $\underline{X}^{(p)}$ denotes the reduction of X modulo p, but we will slightly abuse the notations in this introductory section in order to keep them simple.) Then clearly

$$\bar{a} \in X(\mathbb{F}_p) \setminus Y(\mathbb{F}_p),$$

and in particular, $X(\mathbb{F}_p) \neq Y(\mathbb{F}_p)$. On the other hand, the image of the reduction map $\rho_p : X(\mathbb{Z}) \to X(\mathbb{F}_p)$ is obviously contained in $Y(\mathbb{F}_p)$. Thus, if $X(\mathbb{Z})$ is not Zariski-dense in X then ρ_p fails to be surjective for infinitely many p, which certainly prevents X from having strong approximation. (Incidentally, this observation implies that if G is an algebraic $\mathbb{Q}$-group and $G(\mathbb{Z})$ is not Zariski-dense in G then the closure of $G(\mathbb{Z})$ in $G(\widehat{\mathbb{Z}})$ is of infinite index.)

In fact, the conclusion about the absence of strong approximation in X as above can be made even sharper. First, it is easy to show that X cannot possibly have strong approximation unless it is *absolutely irreducible* (see the remark after Proposition 2.2). So, assume that X is such. Then by the Lang–Weil estimates [1954] we have

$$|X(\mathbb{F}_p)| \approx p^{\dim X},$$

for p sufficiently large. Similarly, for any proper $\mathbb{Q}$-subvariety $Y \subset X$, the cardinality $|Y(\mathbb{F}_p)|$ is bounded above by an expression of the form $C \cdot p^{\dim Y}$, where C is a constant independent of p. It follows that $Y(\mathbb{F}_p) \neq X(\mathbb{F}_p)$ for almost all p, and therefore unless $X(\mathbb{Z})$ is Zariski-dense in X, the reduction map $\rho_p : X(\mathbb{Z}) \to X(\mathbb{F}_p)$ is not surjective for *almost all* p.

So, the fact that $\mathrm{GL}_2(\mathbb{Z})$ is not Zariski-dense in GL_2 (its Zariski closure is precisely the subgroup consisting of $g \in \mathrm{GL}_2$ that satisfy $(\det g)^2 - 1 = 0$), is definitely one of the factors that prevent GL_2 from having strong approximation; in fact, the reduction maps ρ_p are nonsurjective for all $p \geqslant 5$. Now, let us slightly change the set-up by replacing the ring of integers $\mathbb{Z}$ with some localization, for example, $\mathbb{Z}\left[\frac{1}{2}\right]$. Then $\mathrm{GL}_2\left(\mathbb{Z}\left[\frac{1}{2}\right]\right)$ is already Zariski-dense in GL_2, and in fact the map

$$\rho_5 : \mathrm{GL}_2\left(\mathbb{Z}\left[\tfrac{1}{2}\right]\right) \longrightarrow \mathrm{GL}_2(\mathbb{Z}/5\mathbb{Z})$$

is surjective, however the map

$$\rho_{17} : \mathrm{GL}_2\left(\mathbb{Z}\left[\tfrac{1}{2}\right]\right) \longrightarrow \mathrm{GL}_2(\mathbb{Z}/17\mathbb{Z})$$

is not. The reason is that the possible determinants of matrices in $\mathrm{GL}_2\left(\mathbb{Z}\left[\frac{1}{2}\right]\right)$ are of the form $\pm 2^\ell$ with $\ell \in \mathbb{Z}$, hence squares modulo $p = 17$ (in fact, this property will hold for any prime of the form $8k + 1$, and by Dirichlet's theorem there are infinitely many such primes; compare Section 2B).

We see that Zariski density is definitely not sufficient for strong approximation in the general case. At the same time, let us consider the following example involving various subgroups of the group $\mathrm{SL}_2(\mathbb{Z})$. We have

$$\Gamma_0 := \mathrm{SL}_2(\mathbb{Z}) = \left\langle \left(\begin{smallmatrix} 1 & 1 \\ 0 & 1 \end{smallmatrix}\right), \left(\begin{smallmatrix} 1 & 0 \\ 1 & 1 \end{smallmatrix}\right) \right\rangle.$$

For $\ell \geqslant 1$, we define

$$\Gamma_\ell = \left\langle \begin{pmatrix} 1 & 2^\ell \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 2^\ell & 1 \end{pmatrix} \right\rangle.$$

Then we have the inclusions

$$\Gamma_0 \supset \Gamma_1 \supset \Gamma_2 \supset \cdots \supset \Gamma_\ell \supset \Gamma_{\ell+1} \supset \cdots,$$

with

$$[\Gamma_0 : \Gamma_1] = 12 \quad \text{and} \quad [\Gamma_\ell : \Gamma_{\ell+1}] = \infty, \quad \text{for } \ell \geqslant 1.$$

(The fastest way to verify both of these claims is to use the *virtual* Euler–Poincaré characteristic; cf. [Serre 1971]. It is known that the Euler–Poincaré characteristic $\chi(\Gamma_0) = -\frac{1}{12}$. On the other hand, for any $m \geqslant 2$ the matrices $\left(\begin{smallmatrix} 1 & m \\ 0 & 1 \end{smallmatrix}\right)$ and $\left(\begin{smallmatrix} 1 & 0 \\ m & 1 \end{smallmatrix}\right)$ generate a rank 2 free subgroup $\Delta_m \subset \Gamma_0$ (see [de la Harpe 2000, p. 26]), so $\chi(\Delta_m) = -1$. It is an elementary exercise to show that $\Gamma_1 = \Delta_2$ contains the congruence subgroup $\mathrm{SL}_2(\mathbb{Z}, 4)$ modulo 4, so the index $d = [\Gamma_0 : \Gamma_1]$ is finite. So we have

$$\chi(\Gamma_1) = d \cdot \chi(\Gamma_0),$$

whence $d = 12$, as claimed. On the other hand, the assumption that the index $[\Gamma_\ell : \Gamma_{\ell+1}]$ is finite — denote it by d, say — would imply that

$$-1 = \chi(\Gamma_{\ell+1}) = d \cdot \chi(\Gamma_\ell) = -d,$$

that is, $\Gamma_{\ell+1} = \Gamma_\ell$, which is clearly false (consider the reduction modulo $2^{\ell+1}$). Incidentally, the same argument shows that Δ_m is of infinite index in Γ_0 for *any* $m \geqslant 3$. Indeed, we can now assume that m is not a power of 2. If $[\Gamma_0 : \Delta_m] = d < \infty$ then

$$-1 = \chi(\Delta_m) = d \cdot \chi(\Gamma_0) = -\frac{d}{12},$$

implying that $d = 12$. But Δ_m is contained in the congruence subgroup $\mathrm{SL}_2(\mathbb{Z}, m)$, so if p is an odd prime divisor of m then

$$[\Gamma_0 : \Delta_m] \geqslant [\Gamma_0 : \mathrm{SL}_2(\mathbb{Z}, m)] \geqslant |\mathrm{SL}_2(\mathbb{F}_p)| = p(p^2 - 1) \geqslant 24,$$

a contradiction. We note that the group $\Delta_3 = \left\langle \left(\begin{smallmatrix} 1 & 3 \\ 0 & 1 \end{smallmatrix}\right), \left(\begin{smallmatrix} 1 & 0 \\ 3 & 1 \end{smallmatrix}\right) \right\rangle$ received a lot of attention during the workshop.)

So, for large ℓ, the subgroup Γ_ℓ is very "thin" in Γ_0, and essentially the only property it retains is Zariski density. Nevertheless, for all *odd* m we still have

$$\rho_m(\Gamma_\ell) = \rho_m(\Gamma_0) = \mathrm{SL}_2(\mathbb{Z}/m\mathbb{Z}).$$

So, if we ignore $p = 2$ (more precisely, the dyadic component $\mathbb{Z}_2$ of $\widehat{\mathbb{Z}}$), then we still have an analog of the property of strong approximation for Γ_ℓ, for *any* $\ell \geqslant 1$.

At the same time, the closure of Γ_ℓ in $\mathrm{SL}_2(\mathbb{Z}_2)$ is open (see Lemma 2.7 for a more general statement). Thus, we eventually obtain that the closure of Γ_ℓ in $\mathrm{SL}_2(\widehat{\mathbb{Z}})$ is open — one should think of this property as being the next best thing to strong approximation. Note that for a general X as above, the openness of the closure of $X(\mathbb{Z})$ in $X(\widehat{\mathbb{Z}})$ implies that the reduction maps $\rho_m : X(\mathbb{Z}) \to X(\mathbb{Z}/m\mathbb{Z})$ are surjective for all m coprime to some fixed exceptional number $N_0 = N_0(X)$.

To summarize, we see that generally speaking the idea that in certain situations Zariski density should (or may) imply some version of strong approximation, at least for subgroups, appears to be sound, but in order to make it more precise, we need to figure out what is wrong with GL_2 (compared to SL_2).

Before we do this, however, we would like to generalize our set-up and also describe a somewhat different (although closely related) approach to strong approximation. The issue is that typically an algebraic group does not come with a fixed geometric (or linear) realization $G \hookrightarrow \mathrm{GL}_n$, and different realizations may result in different groups of integral points. So, it makes sense to reformulate the property of strong approximation in terms of the *group of rational points*.

2. Strong approximation in algebraic groups and homogeneous spaces

2A. ***Adele groups and strong approximation.*** Let G be an algebraic group defined over a global field K, and let S be a set of places of K. For now, we fix a matrix realization $G \hookrightarrow \mathrm{GL}_n$, which enables us to define unambiguously the groups

$$G(\mathcal{O}_v) = G \cap \mathrm{GL}_n(\mathcal{O}_v),$$

for all nonarchimedean places v of K, where $\mathcal{O}_v$ is the valuation ring in the completion K_v. We let $\mathbb{A}_S$ denote the *ring of S-adeles* of K, and let

$$G(\mathbb{A}_S) = \left\{ g = (g_v) \in \prod_{v \notin S} G(K_v) \mid g_v \in G(\mathcal{O}_v) \text{ for almost all } v \notin S \right\}$$

be the *group of S-adeles* of G. We refer the reader to [Platonov and Rapinchuk 1994, Section 5.1] for a more detailed discussion of adeles, and in particular for the definition of the space of S-adeles $X(\mathbb{A}_S)$ for any affine algebraic K-variety X (we note that $\mathbb{A}_S$ is a K-algebra so we can in fact talk about the set of $\mathbb{A}_S$-points $X(\mathbb{A}_S)$ in an intrinsic way). Here we recall only that one endows $G(\mathbb{A}_S)$ with a natural topology (called the S-adelic topology) that makes it into a locally compact topological group. When S contains all archimedean places of K, this topology is obtained by taking the open subgroups of $\prod_{v \notin S} G(\mathcal{O}_v)$ for a fundamental system of neighborhoods of the identity — thus, the S-adelic topology on $G(\mathbb{A}_S)$ in this case is the "natural extension" of the product topology on $\prod_{v \notin S} G(\mathcal{O}_v)$. (We note that in the case $K = \mathbb{Q}$, $S = \{\infty\}$, the latter group

coincides with $\prod_p G(\mathbb{Z}_p) = G(\widehat{\mathbb{Z}})$, so these adelic definitions are direct generalizations of the notions we discussed in Section 1.) One proves (see [Platonov and Rapinchuk 1994, Section 5.1]) that the topological group $G(\mathbb{A}_S)$ is independent of the choice of a K-realization $G \hookrightarrow \mathrm{GL}_n$. Furthermore, there is a canonical embedding $G(K) \hookrightarrow G(\mathbb{A}_S)$, so we can give the following.

Definition. An algebraic K-group G has *strong approximation* with respect to S if $G(K)$ is dense in $G(\mathbb{A}_S)$.

(Of course, one can give a similar definition for an arbitrary affine K-variety X. We note that if $S = \varnothing$ then $X(K)$ is a closed discrete subspace of $X(\mathbb{A}_S)$, so in discussing strong approximation one actually needs to assume from the outset that S is nonempty.)

Defined this way (in terms of rational points), the property of strong approximation does not depend on the choice of a matrix realization $G \hookrightarrow \mathrm{GL}_n$. On the other hand, in the case where S contains all nonarchimedean places, its validity implies that for *any* realization, the group $G(\mathbb{O}(S))$ of points over the ring of S-integers $\mathbb{O}(S)$, which can alternatively be described as

$$G(\mathbb{O}(S)) = G(K) \cap \prod_{v \notin S} G(\mathbb{O}_v),$$

is dense in $\prod_{v \notin S} G(\mathbb{O}_v)$ (thus, we have strong approximation in the sense discussed in Section 1 for any realization).

2B. ***Absence of strong approximation in algebraic tori.*** Our next goal is to explain why GL_2 has no chance to possess strong approximation. However, it is easiest to pin down the reason by working with the 1-dimensional $T = \mathbb{G}_m$: we will now show that it does not have strong approximation with respect to any finite set of places S, and will then demonstrate how the same phenomenon manifests itself in the case of GL_2 and other situations.

Let us start with the case $K = \mathbb{Q}$. If $S = \{\infty\}$ then $T(\mathbb{Z}) = \{\pm 1\}$ which is not even Zariski-dense. For $S = \{\infty, 2\}$, we have

$$T\left(\mathbb{Z}\left[\tfrac{1}{2}\right]\right) = \pm\langle 2\rangle,$$

which is already Zariski-dense, but nevertheless T still does not have strong approximation. Indeed, pick any prime p of the form $8k + 1$. Then -1 and 2 are squares modulo p, so the map

$$\pm\langle 2\rangle \to (\mathbb{Z}/p\mathbb{Z})^\times$$

is *not* surjective. What really happens here is that T possesses a 2-sheeted cover

$$\pi : T \to T, \quad t \mapsto t^2,$$

and for any prime $p \equiv 1 \pmod 8$ we have

$$T\left(\mathbb{Z}\left[\tfrac{1}{2}\right]\right) \subset \pi(T(\mathbb{Z}_p)) \subsetneqq T(\mathbb{Z}_p).$$

Since $\pi(T(\mathbb{Z}_p)) \subset T(\mathbb{Z}_p)$ is a closed subgroup, we obtain that $T\left(\mathbb{Z}\left[\tfrac{1}{2}\right]\right)$ is *not* dense in $T(\mathbb{Z}_p)$ for any such p. Moreover, by Dirichlet's Prime Number Theorem, for any $r \geqslant 1$ we can find r distinct primes $p_1, \ldots, p_r$ congruent to $1 \pmod 8$. Then the image of the map

$$\pm\langle 2\rangle \to (\mathbb{Z}/p_1 \cdots p_r\mathbb{Z})^\times$$

is contained in $(\mathbb{Z}/p_1 \cdots p_r\mathbb{Z})^{\times 2}$, which has index 2^r in $(\mathbb{Z}/p_1 \cdots p_r\mathbb{Z})^\times$. It follows that the closure of $T(\mathbb{Z}[\frac{1}{2}])$ in $T(\hat{\mathbb{Z}}) = \prod_p T(\mathbb{Z}_p)$ is *of infinite index*.

This approach easily generalizes. First, let $T = \mathbb{G}_m$ over an arbitrary number field K, and let S be an arbitrary finite set of places of K containing all archimedean ones. Then by Dirichlet's unit theorem [Lang 1994, p. 105], the group $T(\mathbb{O}(S))$ is generated by a finite collection of elements, say $t_1, \ldots, t_r$. Set $L = K(\sqrt{t_1}, \ldots, \sqrt{t_r})$. By Chebotarev's density theorem [Lang 1994, p. 169], there exist infinitely many places $v \notin S$ that totally split in L (i.e., $L \subset K_v$). Considering again the covering $\pi : T \to T$, $\pi(t) = t^2$, we see that for any such nondyadic v we have the inclusions

$$T(\mathbb{O}(S)) \subset \pi(T(\mathbb{O}_v)) \subsetneqq T(\mathbb{O}_v).$$

This implies that the closure of $T(\mathbb{O}(S))$ in $\prod_{v\notin S} T(\mathbb{O}_v)$ is of infinite index, and therefore the closure of $T(K)$ in $T(\mathbb{A}_S)$ is of infinite index as well.

Next, this argument can be extended to an arbitrary torus T over a global field K and any finite set S of places of K. Moreover, by considering coverings (isogenies) $\pi_m : T \to T$, $\pi_m(t) = t^m$ for various m prime to $\operatorname{char} K$, one proves the following.

Proposition 2.1. *Let T be a nontrivial torus over a global field K, and S a finite set of places of K. If $\overline{T(K)}$ is the closure of $T(K)$ in $T(\mathbb{A}_S)$ then the quotient*

$$T(\mathbb{A}_S)/\overline{T(K)}$$

is a group of infinite exponent.

This proposition yields a strong version of the fact that a nontrivial torus over a global field always fails to have strong approximation with respect to any finite set of places S. Nevertheless, a torus may have strong approximation with respect to some infinite (and coinfinite) sets S; see Remark 3 after Theorem 2.3.

2C. ***Simply connectedness as a necessary condition.*** The discussion of tori in the previous subsection suggests that the existence of a nontrivial covering map for a given variety X over a global field K may prevent it from having strong approximation with respect to any finite set of places S. Indeed, as we will see soon, simply connectedness of a connected absolutely almost simple group G (i.e., the absence of nontrivial central isogenies $\pi : \widetilde{G} \to G$ with connected $\widetilde{G}$ — [Tits 1966] for a more detailed discussion) is one of the essential conditions in the strong approximation theorem for algebraic groups (see Theorem 2.3 below). But before we shift our focus entirely to algebraic groups, we would like to mention the following general result of Minchev [1989] which does not seem to be well-known to the general audience. (Note that we did not formally define adeles for arbitrary varieties, so the reader may want to assume that all varieties considered are actually affine, in which case the definitions are completely parallel to the above definitions for algebraic groups.)

Proposition 2.2 [Minchev 1989, Theorem 1]. *Let X be an irreducible normal variety over a number field K. If there exists a nontrivial connected unramified covering $f : Y \to X$ defined over an algebraic closure $\overline{K}$, then X does not have strong approximation with respect to any finite set S of places of K.*

Proof. Since this appeared only in Russian, in a journal with limited circulation, we sketch the argument here assuming X and Y to be affine and smooth and S to contain all archimedean places. We may assume that f is a Galois cover of degree $n > 1$, and pick a finite extension L/K such that Y and f are L-defined. For $x \in X(L)$, we let $L(f^{-1}(x))$ denote the extension of L generated by the coordinates of all preimages of x in $Y(\overline{K})$; note that $[L(f^{-1}(x)) : L] \leqslant n!$. Using the local version of the Chevalley–Weil theorem (cf. [Lang 1983, Chapter 2, Lemma 8.3]), for which we need f to be unramified, one shows that there exists a finite set of places S_1 of K containing S such that any $v \notin S_1$ is unramified in $L(f^{-1}(x))$ for all $x \in X(\mathbb{O}(S))$. Invoking Hermite's theorem [Lang 1994, p. 122], we now conclude that there are only finitely many possibilities for $L(f^{-1}(x))$ as x ranges in $X(\mathbb{O}(S))$, and therefore there exists a finite extension L_1/L such that $f^{-1}(X(\mathbb{O}(S))) \subset Y(L_1)$. Enlarging L, we can actually assume that $L = L_1$ and L/K is a Galois extension. Also, expanding S if necessary, we can make sure that if $v \notin S$ splits completely in L (i.e., $L \subset K_v$) then

$$X(\mathbb{O}(S)) \subset f_{K_v}(Y(\mathbb{O}_v)). \tag{2}$$

On the other hand, for almost all nonarchimedean places w of L, the reductions $\underline{X}^{(w)}$ and $\underline{Y}^{(w)}$ modulo w are smooth irreducible varieties over the residue field ℓ_w, and the reduction $\underline{f}^{(w)} : \underline{Y}^{(w)} \to \underline{X}^{(w)}$ is an n-sheeted Galois cover. It follows that

$$\left|\underline{f}^{(w)}_{\ell_w}(\underline{Y}^{(w)}(\ell_w))\right| = \frac{|\underline{Y}^{(w)}(\ell_w)|}{n}. \tag{3}$$

Since $\underline{X}^{(w)}$ and $\underline{Y}^{(w)}$ are irreducible, by the Lang–Weil theorem [1954], the cardinalities $|\underline{X}^{(w)}(\ell_w)|$ and $|\underline{Y}^{(w)}(\ell_w)|$ are both "approximately equal" to q_w^d, where $q_w = |\ell_w|$ and d is the common dimension of $\underline{X}^{(w)}$ and $\underline{Y}^{(w)}$. Comparing this with (3), we see that for almost all w, the cardinality $\left|\underline{f}^{(w)}_{\ell_w}(\underline{Y}^{(w)}(\ell_w))\right|$ is only a fraction of $|\underline{X}^{(w)}(\ell_w)|$; in particular, $\underline{f}^{(w)}_{\ell_w}(\underline{Y}^{(w)}(\ell_w)) \neq \underline{X}^{(w)}(\ell_w)$. Since by Hensel's lemma, the reduction map $X(\mathbb{O}_w) \to \underline{X}^{(w)}(\ell_w)$ is surjective, we obtain that

$$f_{L_w}(Y(\mathbb{O}_w)) \neq X(\mathbb{O}_w).$$

(in fact, our argument shows that $f_{L_w}(Y(\mathbb{O}_w))$ is "much smaller" than — in some sense, a "fraction" of — $X(\mathbb{O}_w)$).

This discussion, in conjunction with (2) implies that for almost all v that split completely in L, the set $X(\mathbb{O}(S))$ is not dense in $X(\mathbb{O}_v)$. Since by Chebotarev's density theorem [Lang 1994, p. 169], there are infinitely many v's that split completely in L, we obtain that X does not have strong approximation with respect to S (and in fact that the closure of $X(\mathbb{O}(S))$ in $\prod_{v\notin S} X(\mathbb{O}_v)$ is very "thin"). □

(We note that Minchev [1989] points out another necessary condition for strong approximation in a K-variety X (which is much easier to prove): X needs to be (absolutely) irreducible.)

Remark. It was pointed out to us by Joël Bellaïche that using the version of the Chevalley–Weil theorem given in [Serre 1997, Section 4.2], one can get rid of the normality assumption in Proposition 2.2.

While the proof of Proposition 2.2 for general varieties requires some facts from arithmetic algebraic geometry, there is a much simpler argument in the case of algebraic groups (see [Platonov and Rapinchuk 1994, Section 7.4]). Since most readers are likely to be particularly interested in this case, we will explain the idea using the following example. Consider the canonical isogeny

$$\widetilde{G} = \mathrm{SL}_2 \xrightarrow{\pi} \mathrm{PGL}_2 = G$$

of algebraic groups over a number field K. By the Skolem–Noether theorem, one can think of G as the automorphism group $\mathrm{Aut}(M_2)$ of the degree two matrix algebra. Then for any field extension F/K, again by the Skolem–Noether theorem, we have

$$G(F) = \mathrm{Aut}_F(M_2(F)) = \mathrm{PGL}_2(F).$$

Then there is an exact sequence

$$\widetilde{G}(F) \xrightarrow{\pi_F} G(F) \xrightarrow{\theta_F} F^\times/F^{\times 2} \to 1, \tag{4}$$

where θ_F is induced by the determinant, viz. $gF^\times \mapsto (\det g)F^{\times 2}$. (Alternatively, one can think of G as the special orthogonal group $\mathrm{SO}_3(q)$ of the Killing form q on the Lie algebra $\mathfrak{sl}_2$ — recall that $q = 2x^2 + yz$ in the Chevalley basis; then $\widetilde{G}$ can be identified with $\mathrm{Spin}_3(q)$, and θ_F becomes simply the spinor norm map on $\mathrm{SO}_3(q)(F)$.)

The point is that given *any* finitely generated subgroup $\Gamma \subset G(K)$, its image $\Delta := \theta_K(\Gamma)$ is a *finite group*. If K is a number field, it follows from Chebotarev's density theorem that there are infinitely many nonarchimedean places v of K such that the image of Δ under the natural map $K^\times/K^{\times 2} \to K_v^\times/K_v^{\times 2}$ is trivial. From the exactness of (4) for $F = K_v$, we conclude that for these v we have

$$\Gamma \subset \pi_{K_v}(\widetilde{G}(K_v)) \neq G(K_v).$$

Applying this to $\Gamma = G(\mathbb{O}(S))$ (which is finitely generated), we obtain that for almost all such v,

$$G(\mathbb{O}(S)) \subset \pi_{K_v}(\widetilde{G}(\mathbb{O}_v)) \neq G(\mathbb{O}_v).$$

The latter implies that the closure of $G(\mathbb{O}(S))$ in $\prod_{v\notin S} G(\mathbb{O}_v)$ is of infinite index, for any finite set S of places of K, and hence G fails to have strong approximation.

This type of argument easily generalizes to prove that if a connected algebraic group G over a number field K is not simply connected, then G fails to have strong approximation for any finite set S of places of K (see [Platonov and Rapinchuk 1994, Section 7.4] for the details).

Example. Let $G = \mathrm{GL}_2$. Set $\widetilde{G} = G \times \mathbb{G}_m$. Then the product map $\widetilde{G} \to G$ is an isogeny of degree 2. Composing it with the map

$$\widetilde{G} \to \widetilde{G}, (g,t) \mapsto (g, t^\ell), \quad \text{for } \ell \geqslant 1,$$

we obtain an isogeny $\widetilde{G} \to G$ of an arbitrary even degree 2ℓ. On the other hand, the map $G \to G$, $g \mapsto (\det g)^\ell g$ for $\ell \geqslant 1$, is an isogeny of an arbitrary odd degree $(2\ell + 1)$. Thus, G has finite-sheeted connected coverings of any degree, in particular, it is not simply connected. In view of the results discussed above, this explains why G does not have strong approximation with respect to any finite S.

2D. ***Strong approximation theorem.*** So far, we have identified two necessary conditions for strong approximation in a connected algebraic group G over a

number field K with respect to a finite set S of places of K that contains all archimedean places: the S-arithmetic subgroups (i.e., subgroups commensurable with $G(\mathcal{O}(S))$) must be Zariski-dense, and G must be simply connected. It turns out that for semisimple groups, these conditions are also sufficient. Since the general case easily reduces to absolutely almost simple groups (cf. [Platonov and Rapinchuk 1994, Section 7.4]), we will give a precise statement of the strong approximation theorem only for this case (however, we will include global fields of positive characteristic).

Theorem 2.3 ([Kneser 1965; Platonov 1969] in characteristic zero; [Margulis 1977; Margulis 1991; Prasad 1977] in positive characteristic). *Let G be a connected absolutely almost simple algebraic group over a global field K, and let S be a finite set of places of K. Then G has strong approximation with respect to S (i.e., $G(K)$ is dense in $G(\mathbb{A}_S)$) if and only if*

(1) *G is simply connected*;

(2) *$G_S := \prod_{v\in S} G(K_v)$ is noncompact.*

(We note that for an absolutely almost simple group G, condition (2) is equivalent to $G(\mathcal{O}(S))$ being infinite, and hence Zariski-dense in G; see [Platonov and Rapinchuk 1994, Theorem 4.10]. It should also be mentioned that in the statement of the theorem we included only the names of the main contributors; the interested reader will find more historical remarks at the end of Section 7.4 in [Platonov and Rapinchuk 1994], and also at the end of the current section.)

Remarks. 1. The condition that G is simply connected is used in the proof of sufficiency in Theorem 2.3 in a very peculiar way that is totally unrelated to the above considerations showing that simply connectedness is necessary for strong approximation. More precisely, what we need is the fact that for all $v \notin S$ such that G is K_v-isotropic (i.e., has positive rank over K_v), the group $G(K_v)$ does not have proper (abstract) subgroups of finite index (see Section 2F). It turns out that in the situation at hand, for G simply connected, the group $G(K_v)$ does not, in fact, have *any* proper noncentral normal subgroups. To put this result in perspective, we recall the result of Tits [1964] asserting that given an absolutely almost simple isotropic algebraic group G over a field P with $\geqslant 4$ elements, the subgroup $G(P)^+$ of $G(P)$ generated by the P-rational points of P-defined parabolics, does not have any proper noncentral normal subgroups. In the same paper, Tits proposed a conjecture, which later became known as the *Kneser–Tits conjecture*, that actually $G(P)^+ = G(P)$ *if* G is simply connected. While over general fields this conjecture turns out to be false [Platonov 1980], it holds over nonarchimedean local fields of characteristic zero (finite extensions of the p-adic field $\mathbb{Q}_p$), as shown in [Platonov 1969] (over $\mathbb{R}$ this fact was established much

earlier by E. Cartan; see [Platonov and Rapinchuk 1994, Proposition 7.6]). This connection between strong approximation and the Kneser–Tits conjecture was the centerpiece of [Platonov 1969]. We will see another manifestation of this connection in the analysis of strong approximation for arbitrary Zariski-dense subgroups (Section 3), although in a different setting (viz., over finite fields). On the other hand, over a local or a finite field P, we have $G(P)^+ \neq G(P)$ if G is not simply connected, and hence in this case $G(P)$ does have proper noncentral normal subgroups (of finite index). This is where the proof of Theorem 2.3 and the corresponding argument in Section 3 breaks down if one drops the assumption that G is simply connected. Finally, we remark that the Kneser–Tits conjecture has generated a lot of research not associated with strong approximation; see [Gille 2009] for a recent survey.

2. The effect of nonsimply connectedness on strong approximation with respect to a finite set S is different for tori and semisimple groups: for a K-torus T, the quotient $T(\mathbb{A}_S)/\overline{T(K)}$ by the closure of the group of rational points has infinite exponent (Proposition 2.1), while, as follows from Theorem 2.3, for a connected absolutely almost simple nonsimply connected K-group G with a universal K-defined cover $\pi : \widetilde{G} \to G$ such that the group $\widetilde{G}_S$ is not compact, the closure $\overline{G(K)} \subset G(\mathbb{A}_S)$ is a normal subgroup with the infinite quotient $G(\mathbb{A}_S)/\overline{G(K)}$ having finite exponent. (This distinction, of course, reflects the fact that the (algebraic) fundamental group of G is finite, while that of T is infinite.)

3. A connected K-group G may have strong approximation with respect to certain *infinite* sets S of places of K *without* being simply connected. For example, in [Prasad and Rapinchuk 2001], we examined in this context strong approximation in tori (which can never be valid for finite S; see Proposition 2.1). To avoid technical definitions, we will just indicate what our results give in the case of the 1-dimensional split torus $T = \mathbb{G}_m$ over $K = \mathbb{Q}$: *If S is an infinite set of places of K that contains the p-adic places for almost all primes p in a certain arithmetic progression, then the closure $\overline{T(\mathbb{Q})}$ of $T(\mathbb{Q})$ in the group of S-adeles $T(\mathbb{A}_S)$ is of finite index.* The result for general tori is basically the same but contains one important exclusion that has to do with how the arithmetic progression interacts with the splitting field of the torus. This fact is instrumental for the analysis of the congruence subgroup problem: it implies, in particular, that if G is an absolutely almost simple simply connected algebraic group over a number field K, which is an inner form, and S is a set of places of K that contains all archimedean places and also almost all places in a certain generalized arithmetic progression, then the corresponding congruence kernel $C^S(G)$ is trivial, that is, every subgroup of finite index in $G(\mathbb{O}(S))$ contains a suitable congruence subgroup (provided that $G(K)$ has a standard description of

normal subgroups). See [Prasad and Rapinchuk $\geq$ 2012].

4. For general affine varieties, the analogs of conditions (1) and (2) in Theorem 2.3 may not be sufficient for strong approximation, even in homogeneous spaces.

Example. Let $f(x, y, z) = ax^2 + by^2 + cz^2$ be the nondegenerate ternary quadratic form over a number field K, and let $X \subset \mathbb{A}^3$ be a quadric given by $f(x, y, z) = a$. Set $g(x, y) = by^2 + cz^2$. Let S be a finite set of places of K such that $X_S = \prod_{v \in S} X(K_v)$ is noncompact (equivalently, for some $v \in S$ the form f is K_v-isotropic). Then (see Section 2E) X has strong approximation with respect to S if and only if one of the following two conditions holds:

(a) g is K-isotropic.

(b) g is K-anisotropic and there exists $v \in S$ such that g remains anisotropic over K_v and either v is nonarchimedean or f is K_v-isotropic.

It follows that a rational quadric X defined by $x_1^2 + x_2^2 - 2x_3^2 = 1$ (which is simply connected) does not have strong approximation with respect to $S = \{\infty\}$.

2E. ***Strong approximation in homogeneous spaces.*** The fact quoted in the above example is a consequence of the analysis of strong approximation in (affine) homogeneous spaces of algebraic groups. Since these results (found in [Borovoi 1989] and [Rapinchuk 1988]; a detailed exposition of the latter paper was given in [Rapinchuk 1990]) are not as widely known as Theorem 2.3, we briefly mention some of them here for the sake of completeness. The fact that only connected simply connected varieties have a chance to possess strong approximation, by and large, forces us to focus our attention on homogeneous spaces of the form $X = G/H$, where G is a semisimple simply connected algebraic K-group, and H is a K-defined connected reductive subgroup (any such variety is affine and simply connected). Furthermore, given a set S of places of K, it is not difficult to show that for such X, the space X_S is noncompact if and only if G_S is noncompact. Assuming now that G is actually absolutely almost simple, we conclude from Theorem 2.3 that G has strong approximation with respect to S (for a general semisimple group G we need to consider its simple components). Then using Galois cohomology one investigates when strong approximation in G implies strong approximation in $X = G/H$. Here is one easy result in this direction.

Proposition 2.4 [Rapinchuk 1988]. *Let $X = G/H$ be the quotient of a connected absolutely almost simple simply connected algebraic group G defined over a number field K by a connected semisimple simply connected K-subgroup H. Then X has strong approximation with respect to a finite set S of places of K if and only if the space $X_S = \prod_{v \in S} X(K_v)$ is noncompact.*

Now, let $q = q(x_1, \dots, x_n)$ be a nondegenerate quadratic form in $n \geqslant 3$ variables. Consider the quadric $X \subset \mathbb{A}^n$ given by the equation $q(x_1, \dots, x_n) = a$ for some $a \in K^\times$. Assuming that $X(K) \neq \varnothing$, pick $x \in X(K)$. Then $X = G/H$, where $G = \mathrm{Spin}_n(q)$ and $H = G(x)$ (the stabilizer of x); note that $H \simeq \mathrm{Spin}_{n-1}(q')$, where q' is the restriction of q to the orthogonal complement of x. So, it follows from Proposition 2.4 that for $n \geqslant 5$, the quadric X has strong approximation with respect to X if and only if there exists $v \in S$ such that q is K_v-isotropic. The same result remains valid for $n = 4$ even though in this case G is not absolutely almost simple. (Incidentally, this result applies to the defining equation of SL_2 given on page 271, yielding thereby another proof of strong approximations for this group; compare Lemma 1.2.)

The case $n = 3$ is different, as here H is a torus. This case can also be treated in a rather explicit form using the results of Nakayama–Tate on the Galois cohomology of tori. More precisely, let T be a K-torus, and let L be the splitting field of T. As usual, given a module M over the Galois group $\mathrm{Gal}(L/K)$, we let $H^i(L/K, M)$ denote the Galois cohomology group $H^i(\mathrm{Gal}(L/K), M)$. Given a finite set S of places of K, we let $\bar{S}$ denote the set of all extensions of places in S to L, and let $\mathbb{A}_L$ and $\mathbb{A}_{L,\bar{S}}$ denote the rings of adeles and $\bar{S}$-adeles of L. Finally, let $c_L(T) = T(\mathbb{A}_L)/T(L)$ be the adele class group of T over L, and let

$$\delta : H^1(L/K, T(\mathbb{A}_L)) \longrightarrow H^1(L/K, c_L(T))$$

be the corresponding map on cohomology. Then, viewing $T_{\bar{S}}$ and $T(\mathbb{A}_{L,\bar{S}})$ as subgroups of $T(\mathbb{A}_L)$, we have the following statement.

Proposition 2.5 [Rapinchuk 1988]. *Let $X = G/T$, where G is an absolutely almost simple simply connected K-group and $T \subset G$ is a K-torus. Then X has strong approximation with respect to a finite set S of places of K if and only if X_S is noncompact and*

$$\delta\big(H^1\big(L/K, T(\mathbb{A}_{L,\bar{S}})\big)\big) \subset \delta\big(\mathrm{Ker}\big(H^1(L/K, T_{\bar{S}}) \to H^1(L/K, G_{\bar{S}})\big)\big),$$

where L is the splitting field of T and $\bar{S}$ consists of all extensions of places in S to L.

This proposition yields the criterion for strong approximation for the quadrics defined by ternary forms we used in Remark 4 of Section 2D. It also implies that for $X = G/T$, one can find a finite set of places S_0 (depending on T) such that X has strong approximation with respect to S whenever $S \supset S_0$. It turns out that this qualitative statement remains valid for quotients by arbitrary connected reductive subgroups. More precisely, using some ideas that eventually led him to theorems of the Nakayama–Tate type for Galois cohomology of arbitrary connected groups, Borovoi proved the following.

Proposition 2.6 [Borovoi 1989]. *Let $X = G/H$ be the quotient of a connected absolutely almost simple algebraic group G over a number field K by its connected reductive K-defined subgroup H. There exists a finite set S_0 of places of K such that X has strong approximation with respect to S_0 (and then, of course, it also has strong approximation with respect to any $S \supset S_0$).*

We remark in passing that the results on strong approximation in homogeneous spaces were used to extend Kneser's method for proving the centrality of the congruence kernel for spinor groups to groups of other classical types as well as G_2 [Rapinchuk 1988; 1989; 1990] (see also [Tomanov 1989a; 1989b]), to establish bounded generation of some S-arithmetic subgroups in orthogonal groups [Erovenko and Rapinchuk 2006], and to study some Diophantine questions involving quadratic forms [Colliot-Thélène and Xu 2009]. (We should mention that the results of the latter work were recently generalized in [Demarche 2011] where the deviation from strong approximation in a connected K-group G has been expressed in terms of a certain subquotient of the Brauer group of G.)

2F. ***On the proof of sufficiency in Theorem 2.3.*** We begin with the following statement that applies to arbitrary Zariski-dense subgroups.

Lemma 2.7. *Let G be an absolutely almost simple algebraic $\mathbb{Q}$-group, and let $\Gamma \subset G(\mathbb{Z})$ be a Zariski-dense subgroup of G. Then for any prime p, the closure $\bar{\Gamma}^{(p)} \subset G(\mathbb{Z}_p)$ is open.*

Proof. Let $\mathfrak{g}$ be the Lie algebra of G as an algebraic group, so that $\mathfrak{g}_{\mathbb{Q}_p}$ is the Lie algebra of $G(\mathbb{Z}_p)$ as a p-adic analytic group. By a theorem of Cartan [Platonov and Rapinchuk 1994, Theorem 3.4], $\Delta := \overline{\Gamma}^{(p)}$ is a p-adic Lie group, of positive dimension as Γ is nondiscrete in $G(\mathbb{Z}_p)$ (the discreteness would force it to be finite, and therefore prevent it from being Zariski-dense). So, the Lie algebra $\mathfrak{h}$ of Δ as a p-adic analytic group is a nonzero $\mathbb{Q}_p$-subalgebra of $\mathfrak{g}_{\mathbb{Q}_p}$. Clearly, $\mathfrak{h}$ is invariant under $\operatorname{Ad}\Gamma$, so the Zariski density of Γ in G implies that $\mathfrak{h} \otimes_{\mathbb{Q}_p} \overline{\mathbb{Q}_p}$ is invariant under $\operatorname{Ad} G$. Since the adjoint representation of G on $\mathfrak{g}$ is irreducible, we conclude that $\mathfrak{h} = \mathfrak{g}_{\mathbb{Q}_p}$, and therefore Δ is open in $G(\mathbb{Z}_p)$ by the implicit function theorem. □

As we will discuss at the beginning of Section 3, this lemma, though useful, falls short of proving any form of strong approximation. We will now indicate additional considerations needed to prove the sufficiency in Theorem 2.3 in characteristic zero, following Platonov's original argument [Platonov 1969]. Let us assume that S contains all archimedean valuations of K. In this case, it is easy to see from the definition of the topology on $G(\mathbb{A}_S)$ that strong approximation is equivalent to the following statement:

For any finite set of places S_1 of K which is disjoint from S, the group $G(\mathcal{O}(S \cup S_1))$ is dense in $G_{S_1} := \prod_{v \in S_1} G(K_v)$.

To showcase the idea, we will now prove this statement in the case where $K = \mathbb{Q}$ and $S_1 = \{p\}$, a single p-adic place such that G is $\mathbb{Q}_p$-isotropic; see [Platonov and Rapinchuk 1994, Section 7.4] for the general case. First, by reduction theory for S-arithmetic groups, $G(\mathcal{O}(S \cup S_1))$ is a *lattice* (i.e., a discrete subgroup of finite covolume) in $G_{S \cup S_1}$; see [Platonov and Rapinchuk 1994, Theorem 5.7]. Since by assumption the group G_S is noncompact, it is not difficult to show (cf. [Platonov and Rapinchuk 1994, Lemma 3.17]) that $G(\mathcal{O}(S \cup S_1)) \subset G(\mathbb{Q}_p)$ is nondiscrete (in particular, infinite), and if Δ denotes the p-adic closure $\overline{G(\mathcal{O}(S \cup S_1))}^{(p)}$, then $G(\mathbb{Q}_p)/\Delta$ carries a finite invariant measure. Next, the fact that $G(\mathcal{O}(S \cup S_1))$ is infinite implies that it is actually Zariski-dense in G (Borel's density theorem; see, for example, [Platonov and Rapinchuk 1994, Theorem 4.10]). Taking into account the nondiscreteness of $G(\mathcal{O}(S \cup S_1))$ in $G(\mathbb{Q}_p)$ and repeating the proof of Lemma 2.7, we conclude that Δ is open in $G(\mathbb{Q}_p)$. Then the existence of a finite invariant measure on $G(\mathbb{Q}_p)/\Delta$ implies that $\Delta \subset G(\mathbb{Q}_p)$ is a subgroup of finite index. On the other hand, since the group G is connected, absolutely almost simple, simply connected and $\mathbb{Q}_p$-isotropic, by the Kneser–Tits conjecture over p-adic fields we have $G(\mathbb{Q}_p) = G(\mathbb{Q}_p)^+$, and therefore the group $G(\mathbb{Q}_p)$ does not have any proper noncentral normal subgroup. In particular, it does not contain any proper subgroups of finite index, and we obtain that $\Delta = G(\mathbb{Q}_p)$, as required.

This argument breaks down in positive characteristic, first and foremost, because Cartan's theorem, which is at the heart of the proof of Lemma 2.7, is valid only in characteristic zero. It should be mentioned that eventually Pink [1998] proved a result which in some sense can be viewed as an analog (or replacement) of Cartan's theorem. The precise general statement is too technical for us to discuss here, so we will only indicate what it yields in one particular case (see Theorem 0.7 in [Pink 1998]): *Let G be an absolutely simple connected adjoint group over a local field F, and assume that the adjoint representation of G is irreducible. If $\Gamma \subset G(F)$ is a compact Zariski-dense subgroup, then there exists a closed subfield $E \subset F$ and a model H of G over E such that Γ is open in $H(E)$.* This sort of result can be used to prove Theorem 2.3 in positive characteristic, but the original argument given virtually simultaneously by Margulis [1977] and Prasad [1977], was different. They derived strong approximation (arguing along the lines indicated above) from the following statement:

Let G be a connected semisimple algebraic group over a local field F, and let $H \subset G(F)$ be a nondiscrete closed subgroup such that $G(F)/H$ carries a finite invariant Borel measure. Then $H \supset G(F)^+$.

Their argument used ergodic considerations and representation theory. More than 25 years later, Pink [2004] used his results from [1998] to give a purely algebraic proof of this theorem, and hence of strong approximation.

3. Strong approximation for Zariski-dense subgroups

3A. ***Overview.*** The strong approximation Theorem 2.3 gives us *precise* information about the adelic closure of S-arithmetic subgroups: for example, if G is an algebraic $\mathbb{Q}$-group that has strong approximation with respect to $S = \{\infty\}$ then for any matrix realization of G, the group $G(\mathbb{Z})$ is dense in $G(\widehat{\mathbb{Z}}) = \prod_p G(\mathbb{Z}_p)$; see Section 2. At the same time, as we explained in Section 1, one can expect a general *qualitative* openness result for the adelic closure of an arbitrary Zariski-dense subgroup. The goal of this section is to discuss some results in this direction.

First, we note one consequence of Lemma 2.7. Let G be a connected absolutely almost simple algebraic $\mathbb{Q}$-group, and let $\Gamma \subset G(\mathbb{Z})$ be a Zariski-dense subgroup of G. Then using the fact that $G(\mathbb{Z}_p)$ is a virtually pro-p group, one easily deduces from Lemma 2.7 that given a *finite* set S of distinct primes, the closure

$$\bar{\Gamma}^{(S)} \subset \prod_{p \in S} G(\mathbb{Z}_p)$$

is open. This statement is already sufficient for some applications; for example, it was used in [Prasad and Rapinchuk 2003] to prove the existence of generic elements in arbitrary finitely generated Zariski-dense subgroups $\Gamma \subset G(K)$, where G is a semisimple algebraic group over a finitely generated field K of characteristic zero; see [Gorodnik and Nevo 2011; Jouve et al. 2013; Lubotzky and Rosenzweig 2012] for more recent work in this direction.[1] On the other hand, if we take S to be the set of *all* primes, the best we can get from Lemma 2.7 is the following:

> *The closure* $\widehat{\Gamma}$ *of* Γ *in* $G(\widehat{\mathbb{Z}}) = \prod_p G(\mathbb{Z}_p)$ *contains* $\prod_p W_p$*, where* $W_p \subset G(\mathbb{Z}_p)$ *is open for each* p*.*

Of course, this does *not* imply that $\widehat{\Gamma}$ is open in $G(\widehat{\mathbb{Z}})$ — for this we need to show that actually $W_p = G(\mathbb{Z}_p)$ for almost all p. The first general result in this direction was the following.

Theorem 3.1 [Matthews et al. 1984]. *Let G be a connected absolutely almost simple simply connected algebraic group over $\mathbb{Q}$.*

[1] The article [Prasad and Rapinchuk 2014] in this volume surveys applications of generic elements to the analysis of isospectral locally symmetric spaces; see also [Prasad and Rapinchuk 2009; 2010].

(1) *If* $\Gamma \subset G(\mathbb{Z})$ *is a Zariski-dense subgroup, then the closure* $\widehat{\Gamma} \subset G(\widehat{\mathbb{Z}})$ *is open.*

(2) *If* $\Gamma \subset G(\mathbb{Q})$ *is a finitely generated Zariski-dense subgroup, then for some finite set* S *of places of* $\mathbb{Q}$ *containing* ∞*, the closure of* Γ *in the group of* S*-adeles* $G(\mathbb{A}_S)$ *is open.*

The paper [Matthews et al. 1984] appeared in 1984, but the interest in these sorts of results arose at least 20 years earlier in connection with the study of Galois representations on torsion points of elliptic curves. In fact, in his book on ℓ-adic representations, Serre [1968] pretty much had this theorem for $G = \mathrm{SL}_2$ (at least, all the ingredients of the proof were there).

Parts (1) and (2) are proved in the same way, so let us focus our discussion on the proof of (1) as this will allow us to keep our notations simple. First, it is enough to prove that for almost all primes p, the closure $\bar{\Gamma}^{(p)} \subset G(\mathbb{Z}_p)$ coincides with $G(\mathbb{Z}_p)$. This reduction step is achieved using $(*)$ in conjunction with the fact that for almost all primes p, the group G has a smooth reduction $\underline{G}^{(p)}$ modulo p and the groups $\underline{G}^{(p)}(\mathbb{F}_p)$ are pairwise nonisomorphic almost simple groups (for the reader who is interested only in the case $G = \mathrm{SL}_n$, we will indicate that here, of course, $\underline{G}^{(p)} = \mathrm{SL}_n/\mathbb{F}_p$, and the structural facts quoted above are well-known). Next, it turns out that for almost all p, proving that $\bar{\Gamma}^{(p)} = G(\mathbb{Z}_p)$ reduces to showing that the reduction map $\rho_p : G(\mathbb{Z}_p) \to \underline{G}^{(p)}(\mathbb{F}_p)$ has the property $\rho_p(\Gamma) = \underline{G}^{(p)}(\mathbb{F}_p)$.

Proposition 3.2 (compare [Matthews et al. 1984, 7.3]). *For almost all* p*, if* $\Delta \subset G(\mathbb{Z}_p)$ *is a closed subgroup such that* $\rho_p(\Delta) = \underline{G}^{(p)}(\mathbb{F}_p)$ *then* $\Delta = G(\mathbb{Z}_p)$.

The proof for $G = \mathrm{SL}_2$ was given by Serre [1968, Chapter IV, 3.4].

Lemma 3.3. *Let* $\Delta \subset \mathrm{SL}_2(\mathbb{Z}_p)$*, where* $p > 3$*, be a closed subgroup such that for the reduction map* $\rho_p : \mathrm{SL}_2(\mathbb{Z}_p) \to \mathrm{SL}_2(\mathbb{F}_p)$ *we have* $\rho_p(\Delta) = \mathrm{SL}_2(\mathbb{F}_p)$*. Then* $\Delta = \mathrm{SL}_2(\mathbb{Z}_p)$.

Proof. By assumption, there exists $g \in \Delta$ such that

$$g = \left(\begin{smallmatrix} 1 & 0 \\ 1 & 1 \end{smallmatrix}\right) + ps, \quad \text{with } s \in M_2(\mathbb{Z}_p).$$

We claim that

$$g^p = \left(\begin{smallmatrix} 1 & 0 \\ p & 1 \end{smallmatrix}\right) + p^2 t, \quad \text{with } t \in M_2(\mathbb{Z}_p). \tag{5}$$

Indeed,

$$g^p = \left(I_2 + \left(\left(\begin{smallmatrix} 0 & 0 \\ 1 & 0 \end{smallmatrix}\right) + ps\right)\right)^p = I_2 + p\left(\left(\begin{smallmatrix} 0 & 0 \\ 1 & 0 \end{smallmatrix}\right) + ps\right) + \binom{p}{2}\left(\left(\begin{smallmatrix} 0 & 0 \\ 1 & 0 \end{smallmatrix}\right) + ps\right)^2 + \cdots + \left(\left(\begin{smallmatrix} 0 & 0 \\ 1 & 0 \end{smallmatrix}\right) + ps\right)^p.$$

But clearly

$$\left(\left(\begin{smallmatrix}0&0\\1&0\end{smallmatrix}\right)+ps\right)^k \equiv O_2(\mathrm{mod}\ p), \quad \text{for any } k \geqslant 2,$$

and in fact

$$\left(\left(\begin{smallmatrix}0&0\\1&0\end{smallmatrix}\right)+ps\right)^k \equiv O_2(\mathrm{mod}\ p^2) \quad \text{for any } k \geqslant 4,$$

as $\left(\left(\begin{smallmatrix}0&0\\1&0\end{smallmatrix}\right)\right)^2 = O_2$ (the zero matrix). So, since $p > 3$, Equation (5) follows.

As $g^p \in \Delta$, we conclude from (5) that the image Φ of the intersection $\Delta \cap \mathrm{SL}_2(\mathbb{Z}_p, p)$ with the congruence subgroup modulo p in

$$\mathrm{SL}_2(\mathbb{Z}_p, p)/\mathrm{SL}_2(\mathbb{Z}_p, p^2) \simeq \mathfrak{sl}_2(\mathbb{F}_p),$$

where $\mathfrak{sl}_2$ is the Lie algebra of SL_2 (i.e., 2×2-matrices with trace zero), is *nontrivial*. On the other hand, Φ is obviously invariant under Δ, and as $\rho_p(\Delta) = \mathrm{SL}_2(\mathbb{F}_p)$, it is actually invariant under $\mathrm{SL}_2(\mathbb{F}_p)$. But since $p \neq 2$, the group $\mathrm{SL}_2(\mathbb{F}_p)$ acts on $\mathfrak{sl}_2(\mathbb{F}_p)$ irreducibly, implying that $\Delta \cap \mathrm{SL}_2(\mathbb{Z}_p, p)$ surjects onto $\mathrm{SL}_2(\mathbb{Z}_p, p)/\mathrm{SL}_2(\mathbb{Z}_p, p^2)$. However, $\mathrm{SL}_2(\mathbb{Z}_p, p)$ is in fact the Frattini subgroup of the pro-p group $\mathrm{SL}_2(\mathbb{Z}_p, p)$, so the latter fact implies that $\Delta \cap \mathrm{SL}_2(\mathbb{Z}_p, p) = \mathrm{SL}_2(\mathbb{Z}_p, p)$, and our claim follows. □

The general case in Proposition 3.2 is obtained by reduction to the case of SL_2. For this one observes that the group G is quasisplit, and therefore $G(\mathbb{Z}_p)$ contains $H = \mathrm{SL}_2(\mathbb{Z}_p)$, for almost all p. We refer the reader to [Matthews et al. 1984] for further details. (Note that one needs to argue a bit more carefully on p. 529 in [Matthews et al. 1984] to make sure that $\Delta \cap H$ maps onto $\mathrm{SL}_2(\mathbb{F}_p)$ surjectively; this can be achieved by choosing a special H.)

So, to complete the proof of (both parts of) Theorem 3.1, one needs to prove the following.

Theorem 3.4. *Let G be a connected absolutely almost simple simply connected algebraic group over $\mathbb{Q}$, and let $\Gamma \subset G(\mathbb{Q})$ be a finitely generated Zariski-dense subgroup. Then there exists a finite set of primes $\Pi = \{p_1, \ldots, p_r\}$ such that*

(1) $\Gamma \subset G(\mathbb{Z}_\Pi)$, *where* $\mathbb{Z}_\Pi = \mathbb{Z}[1/p_1, \ldots, 1/p_r]$;

(2) *for $p \notin \Pi$ there exists a smooth reduction $\underline{G}^{(p)}$;*

(3) *if $p \notin \Pi$ and $\rho_p : G(\mathbb{Z}_p) \to \underline{G}^{(p)}(\mathbb{F}_p)$ is the corresponding reduction map then $\rho_p(\Gamma) = \underline{G}^{(p)}(\mathbb{F}_p)$.*

Conditions (1) and (2) are routine (in fact, (1) holds automatically if $\Gamma \subset G(\mathbb{Z})$), so the main point is to ensure condition (3). The general idea is the following. Let $\mathfrak{g}$ and $\underline{\mathfrak{g}}^{(p)}$ be the Lie algebras of G and $\underline{G}^{(p)}$. Since Γ is Zariski-dense in G, we conclude that $\mathrm{Ad}\,\Gamma$ acts on $\mathfrak{g}_\mathbb{Q}$ absolutely irreducibly. By Burnside's theorem this means that $\mathrm{Ad}\,\Gamma$ spans $\mathrm{End}_\mathbb{Q}\,\mathfrak{g}_\mathbb{Q}$ as a $\mathbb{Q}$-vector space. Excluding finitely many primes, we can achieve that for any of the remaining primes p, the group

Ad $\rho_p(\Gamma)$ acts on $\underline{\mathfrak{g}}^{(p)}_{\mathbb{F}_p}$ absolutely irreducibly. This eventually implies that for almost all p we have $\rho_p(\Gamma) = \underline{G}^{(p)}(\mathbb{F}_p)$. This implication would be obvious if we could say that $\rho_p(\Gamma)$ is necessarily of the form $H(\mathbb{F}_p)$, where $H \subset \underline{G}^{(p)}$ is some connected algebraic $\mathbb{F}_p$-subgroup. (Indeed, then the Lie algebra $\mathfrak{h}$ of H would be a nonzero $\rho_p(\Gamma)$-invariant subspace of $\underline{\mathfrak{g}}^{(p)}$, so $\mathfrak{h} = \underline{\mathfrak{g}}^{(p)}$ and $H = \underline{G}^{(p)}$, as $\underline{G}^{(p)}$ is connected for almost all p, yielding the required fact.) Of course, such an a priori description of $\rho_p(\Gamma)$ would be too much to hope for, but important information along these lines, which is sufficient for the proof of Theorem 3.4, is contained in a theorem of Nori [1987].

3B. ***Nori's Theorem.*** Let H be an *arbitrary subgroup* of $\mathrm{GL}_n(\mathbb{F}_p)$. Set

$$X = \{x \in H \mid x^p = 1\}$$

(we will write 1 in place of I_n to simplify notations). Note that if we assume that $p > n$ (which we will throughout this subsection), then the condition $x^p = 1$ characterizes precisely the unipotent elements, i.e., it is equivalent to the condition $(x-1)^n = 0$. For $x \in X$, we can define

$$\log x := -\sum_{i=1}^{p-1} \frac{(1-x)^i}{i}.$$

Furthermore, observing that $(\log x)^n = 0$, we see that for any t in the algebraic closure of $\mathbb{F}_p$, we can define

$$x(t) := \exp(t \cdot \log x), \quad \text{where } \exp z = \sum_{i=0}^{p-1} \frac{z^i}{i!}.$$

(Note that $x(1) = x$.) We regard $x(t)$ as a one-parameter subgroup $\mathbb{G}_a \to \mathrm{GL}_n$. Set

$$H^+ = \langle X \rangle \subset H,$$

and let $\widetilde{H}$ denote the connected $\mathbb{F}_p$-subgroup of GL_n generated by the 1-parameter subgroups $x(t)$ for all $x \in X$.

Theorem 3.5 [Nori 1987]. *If p is large enough (for a given n), then H^+ coincides with $\widetilde{H}(\mathbb{F}_p)^+$, the subgroup of $\widetilde{H}(\mathbb{F}_p)$ generated by all unipotents contained in it.*

Thus, Nori's theorem asserts that if p is large enough compared to n, then any subgroup of $\mathrm{GL}_n(\mathbb{F}_p)$ generated by p-elements is essentially the group of $\mathbb{F}_p$-points of some connected $\mathbb{F}_p$-defined algebraic subgroup of GL_n. Actually, Nori [1987] proves a stronger result stating that for a field F which either has characteristic zero or positive characteristic p that is large enough compared

to n, the maps log and exp yield bijective correspondences between nilpotently generated Lie subalgebras of $M_n(F)$ and exponentially generated subgroups of $\mathrm{GL}_n(F)$ (we refer the reader to Nori's paper for precise definitions and detailed statements of these results). Nori's argument was based on algebrogeometric ideas; a different proof was given in [Hrushovski and Pillay 1995] using model-theoretic techniques (the idea of their argument is explained in [Lubotzky and Segal 2003, pp. 399–400]). A very strong result of Larsen and Pink [2011] describing the structure of finite linear groups over fields of positive characteristic gives yet another way of saying that a "typical" subgroup of $\mathrm{GL}_n(\mathbb{F}_p)$ is algebraic.

Given the nature of this article, we will not be able to discuss any details of Nori's argument. All we can offer as compensation is a proof of Nori's results for $\mathrm{GL}_2(\mathbb{F}_p)$.

Lemma 3.6. *Let $H \subset \mathrm{GL}_2(\mathbb{F}_p)$ be a subgroup of order divisible by p, and let $H_p \subset H$ be a Sylow p-subgroup. Then either $H_p \lhd H$ or $H \supset \mathrm{SL}_2(\mathbb{F}_p)$.*

Proof. We may assume that H_p coincides with

$$U := \left\{ \begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix} \mid a \in \mathbb{F}_p \right\}.$$

It is well-known that the normalizer of U in $\mathrm{GL}_2(\mathbb{F}_p)$ coincides with $B = TU$ where

$$T := \left\{ \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} \mid a, b \in \mathbb{F}_p^\times \right\}.$$

Furthermore, we have the Bruhat decomposition

$$\mathrm{GL}_2(\mathbb{F}_p) = B \cup BwB, \quad \text{where } w = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

(recall that w normalizes T). Now, if H_p is not normal in H, then it follows from the Bruhat decomposition that H contains an element of the form tw with $t \in T$. Consequently, it also contains

$$U^- := (tw)^{-1}U(tw) = \left\{ \begin{pmatrix} 1 & 0 \\ a & 1 \end{pmatrix} \mid a \in \mathbb{F}_p \right\}.$$

But $\langle U, U^- \rangle = \mathrm{SL}_2(\mathbb{F}_p)$, and our assertion follows. □

So, for any subgroup $H \subset \mathrm{GL}_2(\mathbb{F}_p)$, we have only the following three possibilities:

(1) $H^+ = \{1\}$;

(2) H^+ is conjugate to U;

(3) $H^+ = \mathrm{SL}_2(\mathbb{F}_p)$.

In either case, the assertion of Nori's Theorem is valid.

3C. ***Proof of Theorem 3.4.*** Recall the famous theorem of Jordan:

> *There exists a function* $\boldsymbol{j}(n)$ *on positive integers such that if* $\mathcal{G} \subset \mathrm{GL}_n(\mathcal{K})$ *is a finite linear group over a field* $\mathcal{K}$ *of characteristic zero, then* $\mathcal{G}$ *contains an abelian normal subgroup* $\mathcal{N}$ *such the index* $[\mathcal{G} : \mathcal{N}]$ *divides* $\boldsymbol{j}(n)$.

(In a more common form, Jordan's theorem provides a function $\boldsymbol{j}_0(n)$ for which $\mathcal{G}, \mathcal{N}$ as above satisfy $[\mathcal{G} : \mathcal{N}] \leqslant \boldsymbol{j}_0(n)$; note that given such a function $\boldsymbol{j}_0(n)$, the above statement holds with $\boldsymbol{j}(n) = (\boldsymbol{j}_0(n))!$.)[2] What we need to observe for the proof of Theorem 3.4 is that the assertion of Jordan's theorem remains valid (with the same $\boldsymbol{j}(n)$) for any subgroup $\mathcal{G} \subset \mathrm{GL}_n(\mathbb{F}_p)$ of order not divisible by p.

Indeed, consider the reduction modulo p map $\rho : \mathrm{GL}_n(\mathbb{Z}_p) \to \mathrm{GL}_n(\mathbb{F}_p)$. The kernel $\mathrm{Ker}\,\rho = \mathrm{GL}_n(\mathbb{Z}_p, p)$ is a pro-p group, so since the order of $\mathcal{G} \subset \mathrm{GL}_n(\mathbb{F}_p)$ is prime to p there is a section $\sigma : \mathcal{G} \to \mathrm{GL}_n(\mathbb{Z}_p)$ for ρ over $\mathcal{G}$. Applying the standard Jordan theorem for characteristic zero to $\tilde{\mathcal{G}} := \sigma(\mathcal{G})$, we obtain the corresponding assertion for $\mathcal{G}$. (For the sake of completeness, we would like to indicate that there are various "modular" forms of Jordan's theorem that treat finite subgroups $\mathcal{G} \subset \mathrm{GL}_n(\mathcal{K})$ of order divisible by p where $p = \mathrm{char}\,\mathcal{K}$, starting with [Brauer and Feit 1966]; see [Collins 2008; Weisfeiler 1984a] for subsequent results (we also note that [Bass 1983] provides a generalization to algebraic groups). As we have already mentioned, the most general results about finite linear groups in positive characteristic are contained in [Larsen and Pink 2011].)

Now, suppose that $G \subset \mathrm{GL}_n$. Let $j = \boldsymbol{j}(n)$ be the value of the Jordan function for this n. Set

$$\Gamma^{(j)} = \langle \gamma^j \mid \gamma \in \Gamma \rangle,$$

and $\Phi = [\Gamma^{(j)}, \Gamma^{(j)}]$. Since the regular map $G \to G$, $x \mapsto x^j$, is dominant, and $G = [G, G]$, we conclude that Φ is Zariski-dense in G, in particular, it is nontrivial. Then, by expanding Π, which initially needs to be chosen to satisfy conditions (1) and (2) of the theorem, we may assume that for all $p \notin \Pi$ we have $\rho_p(\Phi) \neq \{1\}$ where $\rho_p : G(\mathbb{Z}_p) \to \underline{G}^{(p)}(\mathbb{F}_p)$ is the reduction modulo p map. In addition, as we explained earlier, by expanding Π further, we may assume for $p \notin \Pi$, the group $\mathrm{Ad}\,\rho_p(\Gamma)$ acts on $\underline{\mathfrak{g}}^{(p)}$ (= the Lie algebra of $\underline{G}^{(p)}$) absolutely irreducibly, and also Nori's theorem is applicable to $\mathrm{GL}_n(\mathbb{F}_p)$. We will now show that the resulting Π is as required.

Let $p \notin \Pi$, and set $H = \rho_p(\Gamma) \subset \mathrm{GL}_n(\mathbb{F}_p)$. First, we observe that p divides the order of H. Indeed, otherwise by the version of Jordan's theorem mentioned

[2] Various sources give different expressions for a Jordan function $\boldsymbol{j}_0(n)$; the optimal function is known to be $\boldsymbol{j}_0(n) = (n+1)!$ for $n \geqslant 71$; see [Collins 2007].

above, there would exist an abelian normal subgroup $N \subset H$ of index dividing j. Then $\rho_p(\Gamma^{(j)}) \subset N$, and therefore $\rho_p(\Phi) = \{1\}$, a contradiction. This means that if we define H^+ and $\widetilde{H}$ as in the Nori's theorem, then $\widetilde{H} \neq \{1\}$, and hence the Lie algebra $\widetilde{\mathfrak{h}}$ of $\widetilde{H}$ is a nonzero subspace of $\underline{\mathfrak{g}}^{(p)}$. On the other hand, by our construction, $\widetilde{H}$ is normalized by $\rho_p(\Gamma)$, so the space $\widetilde{\mathfrak{h}}$ is $\mathrm{Ad}\rho_p(\Gamma)$-invariant. Combining this with the absolute irreducibility of the latter, we obtain that $\widetilde{\mathfrak{h}} = \underline{\mathfrak{g}}^{(p)}$, that is, $\widetilde{H} = \underline{G}^{(p)}$. Furthermore, since G is simply connected, so is $\underline{G}^{(p)}$, and therefore by the affirmative answer to the Kneser–Tits conjecture over finite fields, we have $\underline{G}^{(p)}(\mathbb{F}_p) = \underline{G}^{(p)}(\mathbb{F}_p)^+$. Invoking Nori's theorem, we obtain

$$H = \widetilde{H}(\mathbb{F}_p)^+ = \underline{G}^{(p)}(\mathbb{F}_p)^+ = \underline{G}^{(p)}(\mathbb{F}_p),$$

as required. □

Remarks. 1. The proof of Theorem 3.4 sketched above is based on Nori's paper [Nori 1987], and is different from the original argument in [Matthews et al. 1984]. The interested reader can find an outline of this argument (which relied on the classification of finite simple groups) in [Lubotzky and Segal 2003, pp. 397–398].

2. Combining Lemmas 3.3, 3.6 with the above argument, we obtain a virtually complete proof of Theorem 3.1 for $G = \mathrm{SL}_2$, which, as we have pointed out earlier, was essentially present already in [Serre 1968].

3. We stress that the simply connectedness of G was used again to conclude that the group $\underline{G}^{(p)}(\mathbb{F}_p)$ is generated by unipotent elements. This is yet another manifestation of the connection between strong approximation and the Kneser–Tits conjecture that was first pointed out by Platonov [1969].

4. During the workshop, I. Rivin asked if one can give an explicit bound $N = N(\Gamma)$ such that for any $p > N$ we have $\rho_p(\Gamma) = \underline{G}^{(p)}(\mathbb{F}_p)$. In ongoing work with my student A. Morgan, we have been able to produce some bounds of this kind. More precisely, for $g = (g_{ij}) \in \mathrm{SL}_n(\mathbb{Z})$, set

$$\|g\| = \max_{i,j} |g_{ij}|.$$

Furthermore, given a Zariski-dense subgroup $\Gamma = \langle g_1, \ldots, g_d \rangle \subset \mathrm{SL}_n(\mathbb{Z})$, set

$$m = \max_{k=1,\ldots,d} \|g_k\|.$$

Then there exists $N = N(d, m, n)$ such that for any prime $p > N$ we have $\rho_p(\Gamma) = \mathrm{SL}_n(\mathbb{F}_p)$. However, at the time of this writing our bounds are too large to be of practical use.

5. (Due to the referee.) It is worth pointing out two additional results. First, Guralnick [1999, Theorem B] using the classification of finite simple groups proved the following: *Let G be a finite subgroup of* $\mathrm{GL}_n(k)$ *where k is a field of characteristic p with $p \geqslant \max(n-3, 12)$. Assume that G has no normal p-subgroups and that G is generated by its elements of order p. Then G is a central product of finite quasisimple Chevalley groups in characteristic p.* This gives a very strong quantitative version of Nori's theorem (under the assumption that G has no normal p-subgroups). Second, it is proved in [Salehi Golsefidy and Varjú 2012, Appendix] that the lower bound on characteristic in Nori's theorem is recursively defined; that is, there is a recursively defined function f such that if $p > f(n)$ then for any subgroup $H \subset \mathrm{GL}_n(\mathbb{F}_p)$ there is an algebraic $\mathbb{F}_p$-subgroup $\widetilde{H}$ of GL_n such that $H^+ = \widetilde{H}(\mathbb{F}_p)^+$.

3D. ***Weisfeiler's theorem.*** A far-reaching generalization of Theorem 3.1 was given by B. Weisfeiler. We will state it using the original notation (which is somewhat different from the notation used in the rest of our article).

Theorem 3.7 [Weisfeiler 1984b]. *Let k be an algebraically closed field of characteristic different from* 2 *and* 3, *and let G be an almost simple, connected and simply connected algebraic group defined over k. Let Γ be a Zariski-dense finitely generated subgroup of $G(k)$, and let A be the subring of k generated by the traces* $\operatorname{tr}\operatorname{Ad}\gamma$, $\gamma \in \Gamma$. *Then there exists $b \in A$, a subgroup $\Gamma' \subset \Gamma$, and a structure G_{A_b} of a group scheme over A_b on G such that $\Gamma' \subseteq G_{A_b}(A_b)$ and Γ' is dense in $G_{A_b}(\widehat{A}_b)$.*

(Here A_b denotes the localization of A with respect to b, and $\widehat{A}_b$ the profinite completion of the ring A_b, i.e., the completion with respect to the topology given by all ideals of finite index. To connect this with our previous results, we note that for $A = \mathbb{Z}$, the ring A_b coincides with $\mathbb{Z}[1/p_1, \ldots, 1/p_r]$, where $p_1, \ldots, p_r$ are the primes dividing b, and the completion $\widehat{A}_b$ is precisely $\prod_{p \notin \{p_1, \ldots, p_r\}} \mathbb{Z}_p$, that is, the ring of integral S-adeles for $S = \{\infty, p_1, \ldots, p_r\}$.)

In characteristic 2 and 3, one encounters additional problems due to the existence of so-called nonstandard isogenies. We will not get into these technical details here, but roughly speaking one of the problems is that in these exceptional cases the "right" ring or field of definition of Γ may not be the trace ring or field (the subring or subfield of the algebraically closed field k generated by the traces $\operatorname{tr}\operatorname{Ad}\gamma$ for $\gamma \in \Gamma$). The adequate definitions were given by Pink using the notion of so-called minimal triples (which we will not discuss here). In fact [Pink 2000] proves an appropriate version of the openness statement for the adelic closures of Zariski-dense subgroups in all characteristics, was really the final word in the strong approximation saga.

3E. ***Applications to group theory***: ***Lubotzky's alternative.*** One of the most notable applications of strong approximation is the so-called *Lubotzky alternative* for linear groups. It is discussed in detail in [Klopsch et al. 2011, Chapter II] and [Lubotzky and Segal 2003, Window 9], so here we will only state it for linear groups over fields of characteristic zero.

Theorem 3.8 [Lubotzky and Mann 1991]. *Let Γ be a finitely generated linear group over a field of characteristic zero. Then one of the following holds*:

(a) Γ *is virtually solvable*;

(b) *there exists a connected absolutely almost simple simply connected algebraic $\mathbb{Q}$-group G, a finite set $\Pi = \{p_1, \ldots, p_r\}$ of primes such that the group $G(\mathbb{Z}_\Pi)$, where $\mathbb{Z}_\Pi = \mathbb{Z}\big[1/p_1, \ldots, 1/p_r\big]$, is infinite, and a subgroup $\Gamma_1 \subset \Gamma$ of finite index for which the profinite completion $\widehat{\Gamma}_1$ admits a continuous epimorphism onto $G(\widehat{\mathbb{Z}_\Pi})$.*

This statement was applied in [Lubotzky and Mann 1991] to study the *subgroup growth* (= number of subgroups of a given index n) of linear groups; in particular, it leads to the following dichotomy (which we will state here only in characteristic zero, referring the reader to [Lubotzky and Segal 2003] for some minor distinctions that can occur in the case of positive characteristic): if a linear group has polynomial subgroup growth, then it is virtually solvable, but if the growth is not polynomial (hence the group is not virtually solvable), then it is at least $n^{\log n}$.

The interested reader will find more group-theoretic applications of strong approximation in [Klopsch et al. 2011; Lubotzky and Segal 2003] and references therein, and, of course, in other articles contained in this volume.

Acknowledgements

The author is grateful to Joël Bellaïche, Alex Lubotzky and Gopal Prasad for their comments that helped to improve the exposition. Thanks are also due to the anonymous referee for his/her detailed comments and suggestions that were incorporated in the final version. The author was partially supported by NSF grant DMS-0965758, BSF grant 2010149 and the Humboldt Foundation. He is grateful to the MSRI for the hospitality during the workshop "Hot Topics: Thin Groups and Superstrong Approximation" (February 6–10, 2012). During the preparation of the final version, the author was visiting the Department of Mathematics at Yale University whose support is thankfully acknowledged.

References

[Bass 1983] H. Bass, "Theorems of Jordan and Burnside for algebraic groups", *J. Algebra* **82**:1 (1983), 245–254.

[Borovoi 1989] M. V. Borovoi, "Strong approximation for homogeneous spaces", *Dokl. Akad. Nauk BSSR* **33**:4 (1989), 293–296, 380. In Russian.

[Brauer and Feit 1966] R. Brauer and W. Feit, "An analogue of Jordan's theorem in characteristic p", *Ann. of Math.* (2) **84** (1966), 119–131.

[Collins 2007] M. J. Collins, "On Jordan's theorem for complex linear groups", *J. Group Theory* **10**:4 (2007), 411–423.

[Collins 2008] M. J. Collins, "Modular analogues of Jordan's theorem for finite linear groups", *J. Reine Angew. Math.* **624** (2008), 143–171.

[Colliot-Thélène and Xu 2009] J.-L. Colliot-Thélène and F. Xu, "Brauer–Manin obstruction for integral points of homogeneous spaces and representation by integral quadratic forms", *Compos. Math.* **145**:2 (2009), 309–363.

[Demarche 2011] C. Demarche, "Le défaut d'approximation forte dans les groupes linéaires connexes", *Proc. Lond. Math. Soc.* (3) **102**:3 (2011), 563–597.

[Erovenko and Rapinchuk 2006] I. V. Erovenko and A. S. Rapinchuk, "Bounded generation of S–arithmetic subgroups of isotropic orthogonal groups over number fields", *J. Number Theory* **119**:1 (2006), 28–48.

[Gille 2009] P. Gille, "Le problème de Kneser–Tits", pp. 39–81 in *Séminaire Bourbaki* 2007/2008 (Exposé 983), Astérisque **326**, Société Mathématique de France, Paris, 2009.

[Gorodnik and Nevo 2011] A. Gorodnik and A. Nevo, "Splitting fields of elements in arithmetic groups", *Math. Res. Lett.* **18**:6 (2011), 1281–1288.

[Guralnick 1999] R. M. Guralnick, "Small representations are completely reducible", *J. Algebra* **220**:2 (1999), 531–541.

[de la Harpe 2000] P. de la Harpe, *Topics in geometric group theory*, University of Chicago Press, Chicago, IL, 2000.

[Hrushovski and Pillay 1995] E. Hrushovski and A. Pillay, "Definable subgroups of algebraic groups over finite fields", *J. Reine Angew. Math.* **462** (1995), 69–91.

[Jouve et al. 2013] F. Jouve, E. Kowalski, and D. Zywina, "Splitting fields of characteristic polynomials of random elements in arithmetic groups", *Israel J. Math.* **193**:1 (2013), 263–307.

[Klopsch et al. 2011] B. Klopsch, N. Nikolov, and C. Voll, *Lectures on profinite topics in group theory*, London Mathematical Society Student Texts **77**, Cambridge University Press, 2011.

[Kneser 1965] M. Kneser, "Starke Approximation in algebraischen Gruppen, I", *J. Reine Angew. Math.* **218** (1965), 190–203.

[Lang 1983] S. Lang, *Fundamentals of Diophantine geometry*, Springer, New York, 1983.

[Lang 1994] S. Lang, *Algebraic number theory*, 2nd ed., Graduate Texts in Mathematics **110**, Springer, New York, 1994.

[Lang and Weil 1954] S. Lang and A. Weil, "Number of points of varieties in finite fields", *Amer. J. Math.* **76** (1954), 819–827.

[Larsen and Pink 2011] M. J. Larsen and R. Pink, "Finite subgroups of algebraic groups", *J. Amer. Math. Soc.* **24**:4 (2011), 1105–1158.

[Lubotzky and Mann 1991] A. Lubotzky and A. Mann, "On groups of polynomial subgroup growth", *Invent. Math.* **104**:3 (1991), 521–533.

[Lubotzky and Rosenzweig 2012] A. Lubotzky and L. Rosenzweig, "The Galois group of random elements of linear groups", preprint, 2012. To appear in *Amer. J. Math.* arXiv 1205.5290

[Lubotzky and Segal 2003] A. Lubotzky and D. Segal, *Subgroup growth*, Progress in Mathematics **212**, Birkhäuser, Basel, 2003.

[Margulis 1977] G. A. Margulis, "Cobounded subgroups in algebraic groups over local fields", *Funkcional. Anal. i Priložen.* **11**:2 (1977), 45–57. In Russian; translated in *Funct. Anal. Appl.* **11**:2 (1977), 119–122.

[Margulis 1991] G. A. Margulis, *Discrete subgroups of semisimple Lie groups*, Ergebnisse der Mathematik und ihrer Grenzgebiete (3) **17**, Springer, Berlin, 1991.

[Matthews et al. 1984] C. R. Matthews, L. N. Vaserstein, and B. Weisfeiler, "Congruence properties of Zariski-dense subgroups, I", *Proc. London Math. Soc.* (3) **48**:3 (1984), 514–532.

[Minchev 1989] K. P. Minchev, "Strong approximation for varieties over an algebraic number field", *Dokl. Akad. Nauk BSSR* **33**:1 (1989), 5–8. In Russian.

[Nori 1987] M. V. Nori, "On subgroups of $\mathrm{GL}_n(\mathbf{F}_p)$", *Invent. Math.* **88**:2 (1987), 257–275.

[Pink 1998] R. Pink, "Compact subgroups of linear algebraic groups", *J. Algebra* **206**:2 (1998), 438–504.

[Pink 2000] R. Pink, "Strong approximation for Zariski dense subgroups over arbitrary global fields", *Comment. Math. Helv.* **75**:4 (2000), 608–643.

[Pink 2004] R. Pink, "On Weil restriction of reductive groups and a theorem of Prasad", *Math. Z.* **248**:3 (2004), 449–457.

[Platonov 1969] V. P. Platonov, "The problem of strong approximation and the Kneser–Tits hypothesis for algebraic groups", *Izv. Akad. Nauk SSSR Ser. Mat.* **33** (1969), 1211–1219. In Russian; translated in *Math. USSR Izv.* **3**:3 (1969), 1139–1147.

[Platonov 1980] V. P. Platonov, "Algebraic groups and reduced K–theory", pp. 311–317 in *Proceedings of the International Congress of Mathematicians* (Helsinki, 1978), edited by O. Lehto, Acad. Sci. Fennica, Helsinki, 1980.

[Platonov and Rapinchuk 1994] V. Platonov and A. Rapinchuk, *Algebraic groups and number theory*, Pure and Applied Mathematics **139**, Academic Press, Boston, 1994.

[Prasad 1977] G. Prasad, "Strong approximation for semi-simple groups over function fields", *Ann. of Math.* (2) **105**:3 (1977), 553–572.

[Prasad and Rapinchuk 2001] G. Prasad and A. S. Rapinchuk, "Irreducible tori in semisimple groups", *Int. Math. Res. Not.* **2001**:23 (2001), 1229–1242.

[Prasad and Rapinchuk 2003] G. Prasad and A. S. Rapinchuk, "Existence of irreducible $\mathbb{R}$–regular elements in Zariski-dense subgroups", *Math. Res. Lett.* **10**:1 (2003), 21–32.

[Prasad and Rapinchuk 2009] G. Prasad and A. S. Rapinchuk, "Weakly commensurable arithmetic groups and isospectral locally symmetric spaces", *Publ. Math. Inst. Hautes Études Sci.* 109 (2009), 113–184.

[Prasad and Rapinchuk 2010] G. Prasad and A. S. Rapinchuk, "Number-theoretic techniques in the theory of Lie groups and differential geometry", pp. 231–250 in *Fourth International Congress of Chinese Mathematicians* (Hangzhou, 2007), edited by L. Ji et al., AMS/IP Stud. Adv. Math. **48**, Amer. Math. Soc., Providence, RI, 2010. arXiv 0809.2401

[Prasad and Rapinchuk 2014] G. Prasad and A. S. Rapinchuk, "Generic elements in Zariski-dense subgroups and isospectral locally symmetric space", pp. 211–252 in *Thin groups and superstrong approximation*, edited by H. Oh and E. Breuillard, Math. Sci. Res. Inst. Publ. **61**, Cambridge, New York, 2014.

[Prasad and Rapinchuk $\geq$ 2012] G. Prasad and A. S. Rapinchuk, "On centrality of the congruence kernel", In preparation.

[Rapinchuk 1988] A. S. Rapinchuk, "The congruence subgroup problem for algebraic groups and strong approximation in affine varieties", *Dokl. Akad. Nauk BSSR* **32**:7 (1988), 581–584. In Russian.

[Rapinchuk 1989] A. S. Rapinchuk, "On the congruence subgroup problem for algebraic groups", *Dokl. Akad. Nauk SSSR* **306**:6 (1989), 1304–1307. In Russian; translated in *Sov. Math. Dokl.* **39**:3 (1989), 618–621.

[Rapinchuk 1990] A. S. Rapinchuk, *The congruence subgroup problem for algebraic groups*, Habilitationsschrift, Institute of Mathematics of the Academy of Sciences of the BSSR, Minsk, 1990.

[Salehi Golsefidy and Varjú 2012] A. Salehi Golsefidy and P. P. Varjú, "Expansion in perfect groups", *Geom. Funct. Anal.* **22**:6 (2012), 1832–1891.

[Serre 1968] J.-P. Serre, *Abelian l-adic representations and elliptic curves*, W. A. Benjamin, New York, 1968.

[Serre 1971] J.-P. Serre, "Cohomologie des groupes discrets", pp. 77–169 in *Prospects in mathematics* (Princeton, NJ, 1970), Ann. of Math. Studies **70**, Princeton Univ. Press, 1971.

[Serre 1997] J.-P. Serre, *Lectures on the Mordell–Weil theorem*, 3rd ed., Aspects of Mathematics **15**, Vieweg, Braunschweig, 1997.

[Tits 1964] J. Tits, "Algebraic and abstract simple groups", *Ann. of Math.* (2) **80** (1964), 313–329.

[Tits 1966] J. Tits, "Classification of algebraic semisimple groups", pp. 33–62 in *Algebraic groups and discontinuous subgroups* (Boulder, CO, 1965), edited by A. Borel and G. D. Mostow, Amer. Math. Soc., Providence, R.I., 1966.

[Tomanov 1989a] G. Tomanov, "On the congruence-subgroup problem for some anisotropic algebraic groups over number fields", *J. Reine Angew. Math.* **402** (1989), 138–152.

[Tomanov 1989b] G. M. Tomanov, "Congruence subgroup problem for groups of type G_2", *C. R. Acad. Bulgare Sci.* **42**:6 (1989), 9–11.

[Weisfeiler 1984a] B. Weisfeiler, "Post-classification version of Jordan's theorem on finite linear groups", *Proc. Nat. Acad. Sci. U.S.A.* **81**:16 (1984), 5278–5279.

[Weisfeiler 1984b] B. Weisfeiler, "Strong approximation for Zariski-dense subgroups of semisimple algebraic groups", *Ann. of Math.* (2) **120**:2 (1984), 271–315.

asr3x@virginia.edu

Department of Mathematics, University of Virginia, Charlottesville, VA 22904, United States